

PRACTICAL INFORMATION

EVENT VENUE

PALLADIUM HOTEL, MUMBAI
462, Senapati Bapat Marg,
Lower Parel, Mumbai 400 013, India.

CONTACTS

BSE BROKERS' FORUM (BBF)
(MUMBAI, INDIA)

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ICSA 2015

28TH ANNUAL GENERAL MEETING

Mumbai, India, 15 - 17 April 2015



BSE Brokers' Forum (BBF)

808A, P. J. Towers, Dalal Street, Fort, Mumbai - 400 001. Tel.: 022 - 2272 3704

Hosted by



BSE Brokers' Forum (BBF)

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WELCOME MESSAGE

Namaste!

Welcome to the Gateway of India.



I take this opportunity to welcome all the international delegates who have come from around the world to attend the International Council of Securities Association 2015 28th Annual General Meeting (AGM) at Mumbai, India.

India is the land of global opportunities and it has the potential to become the financial powerhouse of the Asian Region. Indian Economy registered a GDP growth rate of 7.4 % for the current year and it is projected to grow at 8 % plus in the next year which would make it the fastest growing economy in the world. The Indian stock markets have been booming with the SENSEX touching the all time high of 30,000 mark. We are waiting with great enthusiasm the setting up of an International Financial Centre at GIFT City, Gandhinager, Gujarat, India which will add a new dimension to the business opportunities in the country.

My heartiest wishes to ICSA for working towards the development and strengthening of the global capital markets by addressing the key issues like cross border regulations, financial products for emerging markets, technology driven era are some of the exemplary initiations for a robust growth of global capital markets.

I am sure that the ICSA 2015 would be one of the finest brainstorming sessions as the panel discussions comprises of variety of speakers with different expertise from the global fraternity. We are hosting this AGM for the first time in India but are sure that you all shall have a long lasting memory of this event to carry home. I am sure that the discussions during the panel would enrich everyone with intellectual content.

We have also tried to give you the blend of Indian culture by incorporating a flavor of entertainment programme which shall enhance your experience of the Indian hospitality. India has a rich culture where we believe "Atithi Devo Bhava" meaning "The guest is equivalent to God". I am sure that you will enjoy the warmth of Indian hospitality which is unique in the world.

Lastly I wish you all a great experience of your India's stay.

Siddharth Shah
Chairman
BSE Brokers' Forum
Mumbai, India

WORKING COMMITTEE (OF ICSA AGM 2015)



Siddharth Shah
Chairman
BSE Brokers' Forum



Alok Churiwala
Vice Chairman
BSE Brokers' Forum



Ashok Ajmera
Governing Board Member
BSE Brokers' Forum



Vijay Kumar Singhania
Governing Board Member
BSE Brokers' Forum



Anup Gupta
Governing Board Member
BSE Brokers' Forum



Vispi Rusi Bhatena
Chief Executive Officer
BSE Brokers' Forum



Dr. Aditya Srinivas
Chief Operating Officer & Chief Economist
BSE Brokers' Forum

PROGRAMME

WEDNESDAY 15 APRIL

19.00 - 22.00 **Inaugural Networking Cocktails & Dinner
at the Hotel Palladium: Pallazzo**
Dress code: Formal

THURSDAY, 16 APRIL

(HOTEL PALLADIUM: ALHAMBRA (MEETINGS AND SESSIONS))

08.30 - 09.00 **Welcome Coffee at Hotel Palladium: Seven Kitchens**
MEMBERS ONLY

09.00 - 10.45 **ICSA Membership Meeting**
MEMBERS ONLY

10.45 - 11.15 **Coffee Break and Group Photo**
MEMBERS ONLY

11.15 - 12.00 **ICSA Membership Meeting**
MEMBERS ONLY

12.00 - 13.00 **Lunch at Hotel Palladium: Seven Kitchens**
MEMBERS ONLY

13.00 - 13.30 **Welcoming Remarks**

- International Council of Securities Associations, Chairman, **Ian Russell**
- BSE Brokers' Forum, Chairman, **Siddharth Shah**
- BSE Brokers' Forum, Vice-Chairman, **Alok Churiwala**
- Chief Guest (-----) - **TBC**
- Guest of Honor (**Rajeev Kumar Agarwal**, Whole Time Member, Securities and Exchange Board of India)

13.30 - 14.15 **Key Note Address**
**FINANCIAL SYSTEMS - BALANCING THE GROWTH AGENDA
WITH REGULATORY OBJECTIVES**

- **Tajinder Singh**, Deputy Secretary General, International Organization of Securities Commissions (IOSCO)

...PROGRAMME

- 14.15 - 15.15** **SESSION 1**
DEVELOPMENTS IN CAPITAL MARKET – HOW ASIAN CAPITAL MARKETS ARE COPING (CYBER-CRIME AND TECHNOLOGY DRIVEN)
MODERATOR: **Garry W.G. Clement** (CAMS, CFE, AMLP),
President & CEO, Clement Advisory Group
- **Ian C. W. Russell** (FCSI) (President and CEO, Investment Industry Association of Canada (IIAC)), Chairman, ICSA
 - **Ashishkumar Chauhan**, MD & CEO, BSE Ltd.
 - **Mark Austen**, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)
 - **Akhilesh Tuteja**, Head - Technology Vertical, KPMG

15.15 - 15.45 Evening Coffee Break

- 15.45 - 16.45** **SESSION 2**
COMPETITIVE CITIES - FROM A REAL ECONOMY AND A CAPITAL MARKETS PERSPECTIVE
MODERATOR: **Søren Gade**, Executive Director,
Danish Securities Dealers Association (DSDA)
- **Koichi Ishikura**, Chief Officer for International Affairs and Research, Japan Securities Dealers Association (JSDA)
 - **Sung Wook Yang**, Director - International Affairs Department, Korea Financial Investment Association (KOFIA)
 - **Dominic Che**, Director, International Sales, Sales & Clients, Singapore Exchange Limited (SGX)
 - **Peter Beales**, Association for Financial Markets in Europe (AFME)
 - **Dr. Reuben Abraham**, CEO and Senior Fellow, IDFC Institute

16.45 - 16.50 Closing Remarks

18.00 - 22.00 Sightseeing / Dinner:

Departure from the Hotel Lobby, South Mumbai Bus Tour (Mahalaxmi, Haji Ali, Marine Drive, Nariman Point, Fountain Area, Near BSE Building); Dinner at Tote on the Turf (Tote on the turf - is set amidst a lush canopy of 100-year old rain trees with a stunning backdrop of historic buildings and the green of Mumbai's Mahalaxmi Race Course. Integrating cutting-edge design techniques with traditional elements, Tote is an award-winning venture in architecture, design and hospitality. A pulsating urban playground where Bombayites come together to meet and mingle, Tote is another landmark venture from deGustibus Hospitality that brings together sprawling al-fresco dining, an exclusive bar and a truly unique and versatile event space. Drink, Dine and Delight at Tote.)

...PROGRAMME

FRIDAY, 17 APRIL
(HOTEL PALLADIUM: ALHAMBRA (MEETINGS AND SESSIONS))

- 09.30 - 10.30** **SESSION 1**
DEVELOPMENTS AND CHALLENGES IN THE GLOBAL EQUITY MARKETS
MODERATOR: **Hansi Mehrotra**, Investment Consultant
- **Pierre de Lauzun**, Association française des marchés financiers (AMAFI)
 - **Nikhil Mehta**, Executive Director, Corporate Strategy, Nomura
 - **Anup Bagchi**, MD & CEO, ICICI Securities Ltd.
 - **S. Naganath**, President and Chief Investment Officer, DSP BlackRock Investment Managers Pvt. Ltd.
 - **Alka Banerjee**, MD & CEO, Asia Index Pvt. Ltd.

10.30 - 11.00 Morning Coffee Break

- 11.00 - 12.00** **SESSION 2**
PERSPECTIVES ON ASIAN ECONOMIES AND FINANCIAL SYSTEMS: GLOBAL IMPLICATIONS AND MARKET-BASED FINANCING
MODERATOR: **Mushtaq Kapasi**, Chief Representative,
Asia-Pacific, International Capital Market Association (ICMA)
- **Mark Austen**, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)
 - **Navneet Munot**, Chief Investment Officer, SBI Funds Management
 - **Rajiv Vaid**, Global Head of Operations - Strategic Accounts, Business Process Services, Tata Consultancy Services
 - **Vasudha Sundararaman**, MD & CEO, SBISG Global Securities Services Pvt. Ltd.

12.00 - 13.00 Lunch at Hotel Palladium: Seven Kitchens

...PROGRAMME

13.00 - 14.00

SESSION 3

THE IMPACT OF TAPERING QE ON CAPITAL FLOWS TO EMERGING COUNTRIES

MODERATOR: **Mark Austen**, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)

- **Alparslan Budak**, Assistant Secretary General, Turkish Capital Markets Association (TSPB)
- **Saugata Bhattacharya**, Vice - President, Business and Chief Economist, Axis Bank
- **Jayant Manglik**, President - Retail Distribution, Religare Securities Limited
- **Sudhakar Ramasubramanian**, Managing Director, Aditya Birla Money Ltd.
- **Vikas Dawra**, Managing Director - Investment Banking, Yes Bank Limited

14.00 - 14.15

Evening Coffee Break

14.15 - 15.15

SESSION 4

G-20 HIGHLIGHTS – PAST AND FUTURE COMMITMENTS [AND THE CROSS-BORDER CHALLENGE]

MODERATOR: **Dr. Stephen Kirchner**, Economist, Australian Financial Markets Association (AFMA)

- **Dr. Soumya Kanti Ghosh**, Chief Economic Adviser - Economic Research Department, State Bank of India
- **Subhash Kalia**, Senior Strategic Advisor, Yes Bank Limited
- **Jyoti Rai**, Country Head - India, ABAX Corporate Administrators Ltd.
- **Dr. Vikas V Gupta**, Executive Vice President, Traded Markets & Investment Research, ArthVeda Fund Management Pvt. Ltd.

15.15 - 15.30

Closing Address

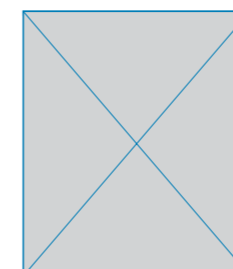
15.30 - 16.30

Membership Meeting (if required)

19.00 - 22.00

Farewell Cocktails & Dinner at the Hotel Palladium: Pallazzio

SPEAKERS

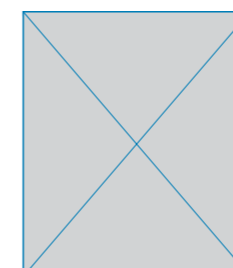


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CHIEF GUEST

Designation

Company Name



???

GUEST OF HONOR

Designation

Company Name



TAJINDER SINGH

Deputy Secretary General

International Organization
of Securities Commissions

Tajinder Singh is currently Deputy Secretary General of the International Organization of Securities Commissions (IOSCO). He was previously Head of International Affairs & Human Resource Development and Advisor to the Chairman of the Securities and Exchange Board of India (SEBI).

Tajinder comes from the Indian Administrative Service and has held various positions in the Ministry of Finance in the Government of India, as well as handling a number of assignments for the government in the financial and other sectors. He has over 20 years of experience at senior leadership positions in different organizations dealing with strategic issues in regulation, finance and administration, and significant domestic and international experience dealing with Government and regulators.

Tajinder was Vice-Chairman of the Implementation Task Force of IOSCO, and played a key role in organizing the IOSCO Annual Conference in Mumbai in 2007.

Tajinder holds a Masters Degree in Systems Science and Automation from the Indian Institute of Science (IISc), Bangalore, and graduated in Electrical and Electronics Engineering from the Indian Institute of Technology (IIT), Kanpur.

The Deputy Secretary General's duties include providing strategic advice; implementing aspects of IOSCO's Strategic Direction; managing relations with IOSCO's membership and being responsible for the administrative functioning of the Secretariat.

SPEAKERS



IAN C. W. RUSSELL
(FCSI) President and CEO
Investment Industry
Association of Canada (IIAC)
Chairman
International Council of
Securities Associations (ICSA)

Ian Russell is President and Chief Executive Officer of the Investment Industry Association of Canada (IIAC), a position he has held since its inauguration in April 2006.

Prior to his appointment at the IIAC, Ian headed the Industry Relations and Representation group of the Investment Dealers Association of Canada. In his 20-year tenure at the Association, he has participated actively in many committees and working groups involved in regulatory and tax issues related to the securities industry and capital markets in Canada. He is a frequent columnist in industry publications and is a presenter and speaker on industry issues and developments.

In January 2014, it was announced that Ian was appointed Chair of the International Council of Securities Associations (ICSA). He is first Canadian in 20 years to serve in this capacity.

Ian has an honours degree in economics and business from the University of Western Ontario, and a postgraduate degree from the London School of Economics. He has completed the Partners, Directors and Officers Qualification Examination and is a Fellow of the Canadian Securities Institute.



ALOK CHURIWALA
Vice-Chairman
BSE Brokers' Forum (BBF)

Alok Churiwala is a third generation stockbroker and an angel investor. He holds a Bachelors degree in Commerce from H. R. College of Commerce & Economics, Mumbai. He also holds a Diploma in Securities Law from Government Law College, Mumbai. He has a rich experience of over 20 years in the Indian Capital Markets.

Churiwala Securities Private Limited (CSPL) is spearheaded by Alok Churiwala, whose focus & vision has helped the company to establish itself as a prominent & respected player in the industry. He has been responsible for starting the CDSL and NSE operations of the Churiwala' Group.

Besides being Ex-member of the BSE Governing Board, he has been actively involved in various committees of the exchange. He is a much sought after visiting faculty offering lectures on a wide spectrum of the subjects relating to capital Markets in India. He is widely quoted in various business publications and television media.

SPEAKERS



GARRY W.G. CLEMENT
President & CEO
Clement Advisory Group

Garry Clement started White-Collar Investigations and Consultant's Group operating as the Clement Advisory Group in April 2009 following a period with an international consulting firm as a Managing Director commencing in 2007. In addition to managing his firm, Garry is the Executive Vice President of TAMLO International Inc. which focuses on designing comprehensive online anti-money laundering courses which includes Carl's Story, interactive exercises, real-life examples, fictional scenarios and interviews with industry experts. Throughout 2012 Garry was a Special Advisor to ACAMS with a Canada focus. He sits on the Board of Advisors for both Bullion Management Group Inc. and Pace Global Advantage. Garry Clement established thirty-four years of policing experience; thirty with the Royal Canadian Mounted Police (RCMP) in a range of investigative portfolios, undercover assignments and management roles, and four years as the Chief of Police for Cobourg. He retired from the RCMP holding the national position of Director, Proceeds of Crime (Superintendent). Garry is an internationally recognized expert in the areas of money laundering, interviewing, white-collar crime, organized crime and detection of suspicious activity having been one of the pioneers of the RCMP's proceeds of crime program. During his tenure in the RCMP, he worked in the areas of proceeds of crime and money laundering as an investigator, undercover operator and manager. Additionally, he served as a Liaison Officer in Hong Kong, where he worked with law enforcement in the Asia-Pacific area dealing with counterfeiting, fraud and money laundering. In 2014 Clement Advisory Group entered into working agreements with Intelysis, a forensic investigative firm and Northern Alarm Protection, a boutique alarm firm and became a strong supporter of Larry Keating's No Panic Computing.

Experience & Expertise

- May 2014 appeared before the Senate Standing Committee of National Security and Defence where he presented on proactive requirements for identifying individuals entering and exiting Canada's borders
- Appeared and testified before the House of Commons Justice Committee and the Senate Committee regarding the Proceeds of Crime Money Laundering Act
- Assisted many foreign jurisdictions in developing their national proceeds of crime training programs;
- Presented on proceeds of crime, anti-money laundering and terrorist financing, interviewing techniques, organized crime and risk-based AML program development both in National and International forums
- Provided expert/opinion evidence pertaining to proceeds of crime and money laundering with more notable cases being the Canadian bar challenge of the requirements of the PCMLTFA, the first civil forfeiture case under Ontario's Civil Forfeiture law and an organized crime case in Montreal.
- Has carried out more than 50 independent reviews ranging from money service businesses, securities firms, a large bank, real estate companies and most recently the precious metal industry.
- Has been called upon to speak on cyber-crime issues impacting organizations;
- Has published numerous article on financial crime and money laundering in various trade magazines.
- Garry is continually called upon by national media, both print and television to comment on financial crime matters.

Affiliations

- Association of Certified Anti-Money Laundering Specialists (ACAMS) (former Advisory Board Member);
- Board of Advisors 2001 through 2006
- Co-Chair Canadian ACAMS Chapter
- Life Member of Ontario Association of Chiefs of Police
- Association of Certified Fraud Examiners (ACFE) (Life Member)
- Association of Money Laundering Professionals
- Member of Rotary International and a Past President of Colborne Rotary Club
- Member Masonic Lodge

Awards

- International Rotary Award for Polio Plus 1000 Mile Run fundraiser
- Rotarian of the Year 2009/2010
- Assistant Commissioner Commendation 2003 for Proceeds of Crime Leadership
- Received plaque from the CIA for "Outstanding Contributions and Support" post September 11th, 2001
- Commanding Officers' Commendations x2-1980 & 2000
- Recommended for a CCRA Award of Excellence - 2000
- Commanding Officer's Appreciation- 1998
- Drug Enforcement Administration Certificate of Appreciation - 1994
- Letter of Commendation from the Deputy Commissioner Operations - 1994
- Province of British Columbia Police Citation - 1980
- RCMP Long Service Medal and Silver Bar
- St. John's Ambulance Meritorious Award - 1974

SPEAKERS



ASHISHKUMAR CHAUHAN
MD & CEO
BSE Ltd.

Ashish currently works as the MD and CEO of BSE, the first stock exchange of India. BSE is ranked as the largest exchange in the world in terms of number of stocks listed.

Ashish has been given the Distinguished Alumnus Award for the year 2014 by his alma mater, Indian Institute of Technology, Bombay. In 2014, he has also received the best CEO in the Financial Markets in the Asia Pacific by the Asian Banker. He has been ranked amongst the 60 most influential Gujaratis in the world for last 2 consecutive years by Chitrlekha, the largest selling Gujarati magazine. He was also ranked amongst the top 50 Chief Information Officer (CIO) by several magazines and institutions between 2005 and 2009 including CIO Magazine US, Information Week, US etc.

Ashish is best known as the father of modern financial derivatives in India. He was part of a small team of 5 people selected from IDBI to set up National Stock Exchange (NSE). At NSE, he set up the first commercial satellite commercial network, initial technology platform, Nifty index, NSE's certification in financial markets (NCFM), listing, derivatives markets etc. At BSE, he has been responsible to bring the fastest trading system in India with 200 microseconds response time. In addition, he has also been responsible for revamping of BSE, bringing equity, currency and interest rate derivatives to BSE, introducing mobile trading system in India and several other achievements.

Ashish is considered as one of the foremost experts in financial market policies, microstructure, Information technology, organized retail, telecommunications, Indian social issues, cricket etc. He also worked as the CEO of a cricket team in its formative years - Mumbai Indians in addition to his role as the President and CIO of Reliance group.

He currently serves on the Board of ICCL, CDSL, BSE Institute Limited and Marketplace Technologies Limited. He is also a member of the Board of Governors of IIIT D&M, Jabalpur. He also serves as the Chairman of the Advisory Committee on Technology of FMC, member of several SEBI committees (including Primary Market Advisory Committee and Secondary Market Advisory Committee), Technical Advisory Committee (TAC) on Money, Foreign Exchange and Government Securities Markets of RBI and has served on various other government and regulatory committees; CBDT and FMC, among others in the past. He is also a member of the UK-India Financial Partnership constituted by the Ministry of Finance, Government of India. He is a member of the National Executive Committee of FICCI as well as the Capital Markets Committee of FICCI, National Committee on Capital Markets and National Council on Financial Sector Development of CII. He also serves on the managing committee of Indian Merchants Chamber.

He and his writings have been quoted in various magazines, newspapers and other media - worldwide over last 2 decades.

Ashish holds a B.Tech in Mechanical Engineering from IIT Bombay and PGDM from IIM Calcutta.



MARK AUSTEN
Chief Executive Officer
Asia Securities Industry
& Financial Markets
Association (ASIFMA)

Mark Austen is the Chief Executive Officer of ASIFMA. Since joining ASIFMA in 2012, he has led the expansion of the association to encompass Equities, Compliance, Post-Trade and Asset Management in addition to its original division for Fixed Income, and its membership has grown to over 70 firms. Mark's key objectives at ASIFMA are to expand its product divisions, continue to build a broad membership base and further strengthen its ability to act as a collective voice for the industry.

Mark was formerly with the Association for Financial Markets in Europe (AFME) as Chief Operating Officer. He joined AFME (then called The Bond Market Association or TBMA) in 2005 to head up the European Primary Dealers Association (EPDA), which addressed issues of specific interest to government bond markets. He is an active member of the board of the Global Financial Markets Association (GFMA) where he represents the Asian perspective on global regulatory issues.

Mark holds an LL.M. from the London School of Economics and an LL.B. from Osgoode Law School in Toronto.

SPEAKERS



AKHILESH TUTEJA
National Head of
Technology & BPM Sector
KPMG, India

Akhilesh heads the IT Advisory practice for KPMG in India. In addition, he is the National Head of Technology & BPM Sector for the firm.

Akhilesh is passionate about developments in the area of information technology and how these can help businesses drive smart processes and effective outcomes.

He has advised over 200 clients on matters relating to IT strategy, selection of business systems and helped them realize the business benefits of IT systems. He has led engagements involving large scale business and IT transformation programs.

Akhilesh has extensive experience in the area of information risk management and has helped a large number of clients manage the risks relating to cyber security. He possesses good knowledge of behavior psychology and is enthusiastic about addressing the issues of IT risks in a holistic manner especially through application of user behavior analytics.

Akhilesh has contributed to developing a number of industry standards. He has been part of several industry advisory and regulatory committees.

Akhilesh has extensive experience in financial services and IT industry and has been associated with a number of national and international industry forums. Akhilesh frequently contributes articles in the business and technology publications.

Akhilesh is B Tech, MBA and holds CISA, CISSP, CGEIT, CRISC and CFE certifications.

He loves to play with gadgets and is currently self-learning implications of nano-technology.



SØREN GADE
Executive Director
Danish Securities
Dealers Association (DBMF)

Søren Gade has been Executive Director at the Danish Bankers Association and responsible for the daily management of the Danish Securities Dealers Association since 1999. Søren graduated with an academic degree in economics in 1989 and worked at the Danish Ministry of Finance before joining the Danish Bankers Association. He is responsible for the Economic Regulation Department.



KOICHI ISHIKURA
Chief Officer for
International Affairs
and Research
Japan Securities
Dealers Association (JSDA)

Koichi Ishikura has been involved with international affairs since he joined the Japan Securities Dealers Association (JSDA) in September 2005. He was appointed as Chief Officer for International Affairs and Research in July 2014.

Prior to his service at JSDA, Mr. Ishikura began his career with the Ministry of Finance in 1981 and mainly worked for its International Finance Bureau. During 1990 to 1992, he served as Assistant to the Executive Director for Japan on the Board of the International Monetary Fund (IMF). From 1998 to 2002, he worked at the Japanese Representative Office (Tokyo Office) of the Asian Development Bank as Deputy Resident Director.

SPEAKERS



SUNG-UK YANG
Director of the
International Affairs and
Deputy Chair of the
International Investment
Funds Association (IIFA)
[Korea Financial Investment
Association \(KOFIA\)](#)

As Director of the International Affairs Department, Sung-Uk works closely with KOFIA members companies and the local policymakers to encourage greater levels foreign investment and participation in the Korean markets, and works to support local companies entering into overseas markets. His department also collaborates with the international organizations to coordinate global policy recommendations and measures.

In addition to these duties, Sung-Uk was elected to serve a two-year term as Deputy Chair of the IIFA in September, 2011.

Prior to joining KOFIA, Sung-Uk has worked in various leading securities companies in Korea; namely Samsung Securities Co., Ltd, Samsung J.P. Morgan Investment Trust Management Co., Ltd, and Citibank.

Sung-Uk received a Bachelor of Business Administration at Korea University and an MBA at State University of N.Y., Buffalo.



DOMINIC CHE
Director, International Sales
[Singapore Exchange Ltd. \(SGX\)](#)

Dominic Che, is a Director, International Sales at Singapore Exchange Ltd. (SGX), covering institutional clients trading into the Exchange's listed product suite.



PETER BEALES
Senior Adviser
[Association for Financial
Markets in Europe \(AFME\)](#)

Peter Beales, Senior Adviser at AFME, focuses on extra-territorial/cross-border conduct of business issues (as well as assisting Member firms on compliance, legal, tax and accounting matters). As well as the role of AFME Managing Director until September 2014, his previous career included working for the Bank of England, 1977-1985; FCO (UKREP), 1985-1987; and the British Bankers' Association, 1987-1992 followed by the London Investment Banking Association. He is currently a member of the Financial Markets Law Committee and the Banking Act Banking Liaison Panel.

SPEAKERS



**DR REUBEN
ABRAHAM**
CEO
[IDFC Institute](#)

Dr Reuben Abraham is CEO and Senior Fellow at the IDFC Institute, a think-tank set up by India's largest infrastructure finance company. The Institute has been set to investigate the political, economic and spatial dimensions of India's ongoing transition from a low-income state-led country to a prosperous market-based economy. He is also a non-resident scholar at the Urbanization Project at New York University's Stern School of Business. Before IDFC, he was Professor and Executive Director of the Centre for Emerging Markets Solutions (CEMS) at the Indian School of Business (ISB), where he continues to serve on the Next Generation Leaders Board of the school.

Reuben was a Legatum Global Fellow for 2013; in 2012, he was named to Wired Magazine's "Smart List 2012: 50 people who will change the world." He was selected as a Young Global Leader for 2009 by the World Economic Forum, where he serves on the Global Agenda Council on Emerging Multinationals.

He is a member of the international advisory board of Unicredit Bank of Italy; the board of the Centre for Civil Society, India's leading classical liberal think tank; and THINK, The Amsterdam School of Creative Leadership. He is an independent director of the Soros Economic Development Fund (SEDF), a fund that makes catalytic investments across emerging markets. Under the aegis of SEDF, he helped set up SONG, a unique India-focused early stage SME venture fund with Google and Omidyar Network as co-investors. A TED Global Fellow in 2007, he now sits on the TED Fellows Advisory Board. He is also on the board of First Home Realty Solutions, which builds low-income housing across India; RenewGen, a waste-to-energy company; and the DLF Foundation.

He completed his M.A., M.Phil and Ph.D. from Columbia University. During his time at Columbia, he was an Associate Fellow in Global Economics at the Council on Foreign Relations, a Fellow of the Public Policy Consortium and a Sloan Foundation/CITI Telecommunications Fellow.



HANSI MEHROTRA
[Investment Consultant](#)

Hansi has 20 years of financial services industry experience, primarily in investment research and consulting for the wealth management industry.

Most recently, Hansi formed a joint venture to set up the India office for a b2b media portal for the wealth management industry. Before becoming an entrepreneur, Hansi was Asia Pacific head of wealth management for Mercer's Investment Consulting business, based in Singapore. She holds a Bachelor of Arts degree from Delhi University, Graduate Diploma of Applied Finance and Investments and Chartered Financial Analyst charter from CFA Institute



PIERRE DE LAUZUN
Chief Executive
[Association Française des
Marchés Financiers \(AMAFI\)](#)

Pierre de Lauzun is Chief Executive of Association Française des Marchés Financiers (AMAFI). Until December 2014, he was also Director General Delegate of the French Banking Federation (FBF). He has held positions as chairman and senior executive in the banking industry; he also served at the French Treasury. Mr de Lauzun is a graduate of Ecole Polytechnique and Ecole Nationale d'Administration.

SPEAKERS



NIKHIL MEHTA
Executive Director
Wholesale Strategy
Division, Nomura - India

Nikhil Mehta is an Executive Director heading Wholesale Strategy division in Nomura's India operations. Nikhil's role involves supporting the senior management in formulating corporate level strategy, conducting business planning and evaluating potential inorganic opportunities.

Nikhil joined Nomura in 2011 and prior to that he was at Citi in the Corporate Strategy and M&A division in New York. Earlier he also worked with ICICI Bank and CitiFinancial in the mortgage lending business in India.

Nikhil is an MBA from the US and a qualified Chartered Accountant.



ANUP BAGCHI
Managing Director & CEO
ICICI Securities Ltd.

Anup Bagchi is the Managing Director & CEO of ICICI Securities Ltd, India's largest integrated financial services firm. ICICI Securities is a subsidiary of ICICI Bank, India's second-largest bank and the largest in the private sector.

Under Anup leadership ICICI Securities has crossed several milestones both on the investment banking as well as the retail side. He is widely recognised for his role in shaping the retail participation in equity and equity linked investments like mutual funds in India.

During his tenure of 20 years with ICICI Group, Anup has held many key positions in the field of Retail Banking, Corporate Banking and Treasury. He is also the Director in ICICI Securities Inc.

In addition to his responsibilities at the ICICI Group, Anup is co-chairman of Federation of Indian Chambers of Commerce & Industry (FICCI) Capital Markets Committee.

Anup is an alumnus of IIT Kanpur and IIM Bangalore. He has been honoured with 'The Asian Banker Promising Young Banker Award'. Anup has also been honoured with 'Industry Newsmaker Award' by Zee Business for his tremendous and unmatched contribution in the field of Finance.



S. NAGANATH
President &
Chief Investment Officer
DSP BlackRock Investment
Managers Pvt. Ltd.

S. Naganath is the President and Chief Investment Officer of DSP BlackRock Investment Managers Pvt. Ltd. He worked with DSP BlackRock Investment Managers Pvt. Ltd. (previously called DSP Merrill Lynch Fund Managers) from its inception (1996) to October 1999 as Chief Investment Officer. He then worked for Credit Suisse Asset Management, New York as a portfolio manager for international equities before re-joining DSP BlackRock Investment Managers Pvt. Ltd. in 2002 as Joint President and Chief Investment Officer. Prior to his initial position at DSP BlackRock Investment Managers, he had worked in Hong Kong as a portfolio manager with Merrill Lynch Asset Management and GT Management, Hong Kong. Naganath holds a Bachelor of Commerce degree from Madras University and a PGDM from Indian Institute of Management, Ahmedabad (class of 1987)

SPEAKERS



ALKA BANERJEE
MD & CEO
Asia Index Pvt. Ltd.

Alka Banerjee is managing director, Strategy and Global Equity Indices, at S&P Dow Jones Indices. Alka is responsible for the design and methodology governing strategy and global indices, focusing on creating new benchmarks for global equity markets and promoting their use amongst global clients.

Alka has extensive experience in global benchmarks, emerging market indices, Islamic equity investing and sustainable investing. More recently she has been closely involved with developing S&P Dow Jones Indices' latest strategy indices providing alternate benchmarks to global investors. Prior to joining Standard & Poor's in 2000, Alka worked for The Bank of New York where she was responsible for The Bank of New York ADR Index. Before coming to the U.S., she worked in India for the State Bank of India.

Since September 2013 she has also been designated as the Chief Executive Officer of the newly formed joint venture, Asia Index Private Limited, between S&P Dow Jones Indices and the BSE of Mumbai, India's premier stock exchange.

Alka frequently presents at indexing and investing events, and is widely cited in the press. She holds a master's in economics from Lucknow University in India and an MBA in finance from Pace University in New York.



MUSHTAQ KAPASI
Chief Representative,
Asia-Pacific
International Capital
Market Association (ICMA)

Mushtaq Kapasi was appointed in 2013 to lead ICMA's work in Asia Pacific and to run its Asia Pacific Representative Office in Hong Kong. He has been based in Hong Kong since 2002, engaged in senior strategy, capital market and legal roles at international banks active in Asia.



NAVNEET MUNOT
Executive Director and
Chief Investment Officer
SBI Funds Management
Private Limited

As CIO, Navneet leads one of the largest investment team in India which manages assets of over Rs.72,000 crores across various asset classes.

Navneet has over 20-years experience in financial markets. Before joining SBI MF, he was executive director and Head of Multi Strategies Fund Boutique at Morgan Stanley Investment Management. Prior to joining Morgan Stanley Investment Management, he worked as the Chief Investment Officer (Fixed Income and Hybrid Funds) of Birla Sun Life Asset Management Company Ltd. Navneet had been associated with the financial services business of the Birla group for over 13 years and worked in various areas such as fixed income, equities, foreign exchange and derivatives.

Navneet has done post-graduation in Accountancy and Business Statistics and also a rank holder Chartered Accountant. He is a charter holder of Chartered Financial Analyst Institute, US and Chartered Alternative Analyst Institute, US. He has also done FRM and is charter holder of Global Association of Risk Professional (GARP).

SPEAKERS



RAJIV VAID
Global Head of
Operations - Strategic
Accounts Business
Process Services
Tata Consultancy Services

Rajiv is Global Head of Operations - Strategic Accounts, Business Process Services. In this role he heads the delivery for strategic accounts, across the globe. Rajiv has over 26 years of experience of which 18 years with Citibank primarily in Japanese markets and Indian markets.

Rajiv has worked for large part of his career in Citibank Japan in various functions across the capital markets as well as other parts of the bank. He experienced different roles in the bank and became Market Risk Manager for Citibank Japan. He moved to India in 2004 and worked in various role in Citigroup. He was key member of the Citi's eServe management team and was driving eServe's M&A by TCS and moved to TCS with the acquisition. He joined Daiwa Capital Markets India in 2011 as Chief Operating Officer of the India business till 2014. He is now with TCS in the current role from 2014. Rajiv holds a Bachelors Degree in Electrical Engineering from Indian Institute of Technology, Roorkee, India and MBA from Indian Institute of Management - Ahmedabad. He has been active writer and has written in many newspapers and journals. He is also speaker at various events.



**VASUDHA
SUNDARARAMAN**
MD & CEO
SBISG Global Securities
Services Pvt. Ltd.

Vasudha Sundararaman has been working for the last 32 years with State Bank of India after having joined it as a probationer in 1981. She has worked in various geographies like Delhi, Uttar Pradesh, Assam, Tamil Nadu, Andhra Pradesh and Mumbai in varied assignments like Branch Banking, Forex, Exports/Imports, NPA Management, Inspection & Audit, Industrial Rehabilitation, a prestigious and unique Bank-wide HR initiative and more recently Alternate Delivery Channels (Internet Banking, ATMs, etc at the whole-Bank level). In her last assignment, she was a member of the Indian Banks Association sub- committee for developing a friendly model of ATMs for the visually challenged. SBI took the lead to set up over 3500 such ATMs in the last one year. She has been with SBISG since August 2013.



ALPARSLAN BUDAK
Assistant Secretary
General
Turkish Capital Markets
Association (TSPB)

Alparслан Budak joined the Turkish Capital Markets Association in 2001 and is currently in charge of the Research & Statistics, International Relations, Public Relations and Information Technology departments.

Between 1993 and 2001, Alparслан held various positions at the Research, Asset Management, International Business Development and Corporate Finance departments at Demir Investment, a prominent investment bank in Turkey. During his term, he produced research reports on the economy, several industries and companies; managed equity mutual funds, established brokerage firms in Romania and Bulgaria and took part in several IPO and M&A projects.

Alparслан graduated from the Bosphorus University in Istanbul with a BA degree in Business Administration in 1993. In 1996, he received his MSc degree in Money, Banking & Finance from the University of Birmingham in the UK.

Alparслан is a Board Member of the Association of Industrialists, Businessmen and Executives Graduated from Robert College or Boğaziçi University. He is a regular speaker at international and domestic events and also lectures as guest speaker at several universities in Turkey.

SPEAKERS



**SAUGATA
BHATTACHARYA**
Senior Vice - President,
Business and Chief
Economist
Yes Bank Limited

Saugata Bhattacharya is Senior Vice-President, Business and Chief Economist of Axis Bank. He was a member the Finance Ministry Sub-Group on Estimating Foreign Savings for the approach paper for 12th five year plan. He was previously with Unilever in India and the Infrastructure Development Finance Company (IDFC). He was educated at Delhi School of Economics and Oxford University.



JAYANT MANGLIK
President -
Retail Distribution
Religare Securities Limited

Jayant Manglik has 20 years of experience in the financial services sector. He is currently President - Retail Distribution of Religare Securities Ltd and oversees trading of all products including equity, commodity, currency and distribution of investment products with a frontline sales team of 1700 employees via 200 retail branches and 1500 Business Partners. He is a frequent commentator and writer on capital markets and commodities.

Under his leadership, Religare has received the Bloomberg-UTV award for Best Commodity Broker two years in a row i.e. 2011 and 2012 as well once for Currency in 2012. Among others, he is also on BSE's advisory board and Chair of FICCI's Working Group on Commodities. He is a yoga enthusiast and a keen golfer.



**SUDHAKAR
RAMASUBRAMANIAN**
CEO - Wealth &
Online Business
Aditya Birla Financial
Services Ltd.
Managing Director
Aditya Birla Money Ltd.

Sudhakar Ramasubramanian is the CEO- Wealth & Online Business of Aditya Birla Financial Services Ltd and Managing Director of Aditya Birla Money Ltd. (ABML). He joined Aditya Birla Financial Services Group (ABFSG) in March 2009 from the Aditya Birla Group (ABG). Initially he was appointed Executive Director of Aditya Birla Money Ltd. (ABML) to manage its overall operations and drive the post-merger integration of ABML into the ABFSG fold. Under his leadership, ABML grew significantly on all business parameters, and today, ABML is an integral and important member of the ABFSG family. Subsequently in January 2010 he moved to Aditya Birla Finance Ltd. (ABFL) as the CEO and scaled it to greater heights. Through this period he continued to remain as a Director on the Board of ABM. Sudhakar has a work experience of more than 20 years in several corporate and line roles in large organizations. At ABG, he started his career in the Equity Brokerage arm and has been with the group for over 14 years in various businesses. Prior to ABFSG, he was President & Head- Corporate Strategy & Business Development at ABG. In this role, he worked with the group businesses in developing their business strategy and executing strategic initiatives identified, including new and international business development, alliances and M & As. He has also played an important role in developing new businesses like Life Insurance and BPO for the group. He holds a Management Degree from the Indian Institute of Management, Ahmedabad and is also a cost accountant.

SPEAKERS



VIKAS DAWRA
Managing Director,
Investment Banking
[Yes Bank Limited](#)

Vikas Dawra is Managing Director- Investment Banking at YES BANK with 17 years of experience across a range of industries and geographies. At YES BANK, Vikas has led cross-border M&A, PE placements and ECM mandates. Besides India, he has led successful transactions in US, Latin America, Europe, Middle East and Africa. Additionally Vikas leads the Sustainable Investment Banking (SIB) division of the bank which focuses on providing IB services to businesses operating in the area of renewable energy, environmental services and social & financial inclusion. At SIB, Vikas has led over 25 transactions in the sustainability space, cumulating to a total transaction value of over USD 6 bn. Prior to joining YES BANK, he was Associate Director, M&A at Rabo India Finance, Mumbai. Vikas also spent 5 years with Arthur Andersen both in Delhi and Mumbai. Vikas holds a B.E. in Electrical Engineering from PEC, Chandigarh and an MBA from Faculty of Management Studies, University of Delhi.



DR. STEPHEN KIRCHNER
Economist
[Australian Financial Markets Association \(AFMA\)](#)

Dr. Stephen Kirchner joined AFMA from the Australian public policy think-tank the Centre for Independent Studies and was a senior fellow at Canada's Fraser Institute. Previously, he was a senior lecturer in economics at the University of Technology Sydney Business School. He has also worked as economist, senior economist and then director of economic research with Standard & Poor's Institutional Market Services based in Sydney and Singapore. Before working in financial markets, Stephen was an adviser to members of the Australian House of Representatives and Senate. He has a PhD in economics from the University of New South Wales. Kirchner holds a B.A. (Hons) from the Australian National University, a Master of Economics (Hons) from Macquarie University, and a Ph.D. in Economics from the University of New South Wales.



DR. SOUMYA KANTI GHOSH
Chief Economic Adviser -
Economic Research
Department
[State Bank of India](#)

Soumya Kanti Ghosh is Chief Economic Advisor, at State Bank of India. Soumya is on the monetary policy advisory committee on the Indian Banks Association, Review Committee of IIT Jodhpur and on the ALCO Committee and Risk Management Committees of State Bank of India. Soumya Kanti Ghosh has completed his doctoral thesis in Economics from Jawaharlal Nehru University, and Masters from Delhi School of Economics. He was most recently, Senior Fellow, ICRIER, India's leading think tank and was also Chief Economist, FICCI. His areas of interest are financial markets, monetary economics, public finance and risk management. Soumya has more than 20 years work experience with the corporate world, academic institutions, research bodies and an industry chamber. Soumya has been a visiting faculty to IIT Kanpur & IIM Rohtak. Soumya is a regular contributor in print and electronic media and economic journals.

SPEAKERS



SUBHASH KALIA
Senior Strategic Advisor
[Yes Bank Limited](#)

Subhash has been a career Banker for nearly four decades. A Gold medalist Post Graduate in Political Science, Mr. Kalia joined Bank of Baroda in 1973 and worked in several capacities up to the level of General Manager in Bank of Baroda till 2008 when he was appointed as Executive Director of Vijaya Bank by Government of India. Subhash also served as Executive Director of Union Bank of India till his superannuation in 2011.

Subhash has been member of various committee constituted by Government of India, Reserve Bank of India & Indian Bank Association. He has rich Corporate Governance experience having served on Boards of various Banks and committee (including that of Domestic & Overseas subsidiaries of Bank of Baroda) & other institutions. Having served in London and Mauritius and having carried out Home office inspections in various overseas jurisdiction in Bank Of Baroda, Subhash also has rich international experience.

Post retirement Subhash has served as member of the committee constituted by Reserve Bank of India on Priority Sector Lending and Advisor & Head Debt Markets Cell constituted by DFS, Ministry of Finance, Government of India under the aegis of IIFCL. He has also been associated with Corporates, NBFCs as Advisor in financial service sector space.

Subhash is currently working as Senior Strategic Advisor at Yes Bank. He is also member of Empowered Committee on External Commercial Borrowings constituted by Reserve Bank of India and Member of Banking and Finance Committee of Indian Merchant Chamber, Mumbai besides being on the Board of some companies.



JYOTI RAI
Country Head - India
[ABAX Corporate Administrators Ltd.](#)

Having 15+ yrs plus experience in Marketing and Strategies across segments in Financial Markets. In the current role of Country Head - India for ABAX Corporate services Ltd., an international service provider of administrative services including cross border investment advisory I consult Indian Inc on cross border investments across Africa and Middle East.

Jyoti has worked with some of the leading companies like Citibank, Reliance Capital asset management, Thomson Reuters, AIG asset management, MCX, SBI-Soc Gen Custodial Services. Member of FICCI - Ladies Organization and on the "Swayam committee" - empowering Women entrepreneurs. In her last role she was the Head Business development for Domestic and offshore business of SBI - Soc Gen Global Securities Services Pvt Ltd. There she was also responsible for Liaisons with Regulators on market development matters and led the Telerate users acquisition in Buy & Sell side space in South Asia for Reuters, consulted Foreign entities on *FIPB route in investing in Commodities in India, was integral to the launch of Currency Futures (CD) for Institutions in MCX-SX, Integral to the AC Neilson first Pan India Equity Participation Survey, was part of the working group for "Committee on rationalization of investment routes and monitoring for foreign portfolio investments" of SEBI, Chairperson in the "QDP Committee of ^DPAI" for Western Chapter, was integral to the team which had put up white paper on Quasi Sovereign and Sovereign issuance by Government backed institutions, this team was led by Dr Raghuram Rajan the current Reserve Bank Governor. Jyoti contributed on the subject of foreign capital inflows to Centro de Estudios de Estado y Sociedad (CEDES), Argentina and IDRC, Canada sponsored and tabled report in G20 summit "Asymmetric Demography, Growth, and Global Financial Governance" on Indian Economy.

Jyoti has also made it to the list of experts for deliberating Policies impacting FII with India's leading Business Channel CNBC TV 18, CNBC Awaaz and interviews have been published in Forum View Magazine and Mumbai Mirror.

^ DPAI- Depository Participants of India | * FIPB- Foreign Investment Promotion Board of India

SPEAKERS



Dr. Vikas Gupta is Executive Vice President-Traded Markets and heads Investment Research and Product Development. He manages investment products on domestic and global listed equities targeted to investors from domestic and global markets. His value-oriented research framework transforms data and information into alpha-generating product and fund constructs.

Vikas has over 20 years of experience in research, strategy and operations in various CxO-level roles; he has been involved with several IPOs, private placements, and M&A transactions. His capabilities lie in strategic value creation by understanding the interplay of valuation drivers and sources of risk through in-depth research and analytics.

DR. VIKAS V GUPTA Vikas formerly served as Professor and research faculty at IIT Kharagpur and University of California. He has a B.Tech from Indian Institute of Technology (IIT) Bombay and earned his Masters and Doctorate from an Ivy League University - Columbia University, New York.

Executive Vice President,
Traded Markets &
Investment Research
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Korea Financial Investment Association (KOFIA)	Assistant Manager	Seo Hee Kang	Seoul, Korea
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Taiwan Securities Association (TSA)	Director	Dennis Bin-Chun Hsu	Taiwan
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Turkish Capital Markets Association (TSPB)	Assistant Secretary General	Alparslan Budak	Turkey

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BSE (formerly Bombay Stock Exchange Ltd.) - Established in 1875, BSE Ltd. (formerly known as Bombay Stock Exchange Ltd.), is Asia's first Stock Exchange & also now the fastest exchange in India. BSE is one of India's leading exchange groups and has played a prominent role in developing the Indian capital market. BSE is a corporatized and demutualised entity, with a broad shareholder-base which includes two leading global exchanges, Deutsche Bourse and Singapore Exchange as strategic partners. BSE provides an efficient and transparent market for trading in equity, debt instruments, equity derivatives, currency derivatives, interest rate derivatives, mutual funds and stock lending and borrowing. BSE also has a dedicated platform for trading in equities of small-and-medium enterprises (SME). BSE provides a host of other services to capital market participants including risk management, clearing, settlement, market data services and education. It has a global reach with customers around the world and a nation-wide presence. BSE systems and processes are designed to safeguard market integrity, drive the growth of the Indian capital market and stimulate innovation and competition across all market segments. Indian Clearing Corporation Limited, the wholly owned subsidiary of BSE, acts as the central counterparty to all trades executed on BSE platform and provides full innovation guaranteeing the settlement of all bonafide trades executed on the BSE Platform. BSE Institute Ltd, a fully owned subsidiary of BSE, runs one of the most respected capital market educational institutes in the country. Central Depository Services Ltd. (CDSL), a subsidiary of BSE is one of the two central depository services in India.

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To know more about NJ Group, please visit www.njgroup.in



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NJ Group is a leading player in the Indian financial services industry known for its' strong distribution capabilities. The journey of NJ Group began in 1994 with the establishment of NJ India Invest Pvt. Ltd., the flagship company, to cater to investor needs in the financial services industry. Today, NJ Wealth, started in 2003, is among the largest network of financial products distributors in India.

Over the years, NJ Group has diversified into other businesses and today has the presence in businesses ranging from financial products distribution, asset management, real estate, insurance broking, training and technology. Our rich experience in financial services, combined with executional capabilities and strong process & system orientation, has enabled us to shape a rising growth trajectory in our businesses.

The vision of NJ Group is to be the leader in businesses driven by customer satisfaction, commitment to excellence and passion for continued value creation for all stakeholders. This vision is at the cornerstone of everything we do and has helped us grow and build the trust of our customers and associates.

NJ Group is based out of Surat in Gujarat and has business presence across India.

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